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1 The Global Surface Roughness of 25143 Itokawa

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14 Abstract

15 Surface roughness is an important metric in understanding how the geologic
16 history of an asteroid affects its small-scale topography and it provides an
17 additional means to quantitatively compare one asteroid with another. In
18 this study, we report the first detailed global surface roughness maps of
19 25143 Itokawa at horizontal scales from 8–32 m. Comparison of the spatial
20 distribution of the surface roughness of Itokawa with 433 Eros, the other
21 asteroid for which this kind of analysis has been possible, indicates that the
22 two asteroids are dominated by different geologic processes. On Itokawa, the
23 surface roughness reflects the results of down-slope activity that moves fine
24 grained material into geopotential lows and leaves large blocks in geopotential
25 highs. On 433 Eros, the surface roughness is controlled by geologically-recent
26 large impact craters. In addition, large longitudinal spatial variations of
27 surface roughness could impact the role of YORP on Itokawa.

28 *Keywords:* Asteroids, Topography, 25143 Itokawa, 433 Eros, Surface
29 roughness

30 1. Introduction

31 Asteroids are a diverse set of solar system small bodies that have been
32 investigated using both spacecraft and Earth-based observational platforms.
33 To better understand processes that shape the surface histories of these bod-
34 ies, we seek quantitative metrics to investigate the geology of individual as-
35 teroids and to compare one asteroid to another. One such metric is surface
36 roughness, which quantifies the topography of asteroids using a measure of
37 the change in topography over a specified horizontal scale. Surface roughness
38 thus provides a statistical measure of topographic variability.

39 The surface roughness of asteroids can be obtained over different spa-
40 tial scales, known as baselines, using a variety of measurement techniques.
41 Surface roughness is calculated at sub-centimeter to centimeter scale from
42 thermophysical data (e.g., [Rozitis and Green, 2012](#)), and is also derived from
43 radar data at the scale of centimeters (e.g. [Benner et al., 2008](#)). Thermo-
44 physical and radar-derived surface roughness measurements are usually made
45 with Earth- and space-based observational platforms.

46 At larger baselines, typically meters to tens of meters, surface rough-
47 ness is calculated from spot-to-spot topographic variations from the high-
48 est resolution topographic data available, usually from image-based shape
49 models, or laser altimeter measurements. Laser altimetry-derived measure-
50 ments of surface roughness are limited to a few asteroids, such as 433 Eros
51 and 25143 Itokawa, hereafter Eros and Itokawa respectively, ([Cheng et al.,](#)

2002; Barnouin-Jha et al., 2008b). By comparing longer baseline spacecraft-
derived surface roughness measurements with shorter baseline Earth-based-
observation-derived surface roughness, we may be able to establish links be-
tween Earth-based observations and the attributes of the in-situ geology of
an asteroid that might be later observed by spacecraft. In this study, we
focus on laser altimetry derived surface roughness for Itokawa. The maps
presented here are the first detailed meter-scale surface roughness maps of
Itokawa, and in fact the first such maps for a small (sub-km) asteroid.

The Hayabusa spacecraft explored the asteroid Itokawa from September
to December 2005 (Fujiwara et al., 2006). Itokawa is a near-Earth S-class
asteroid (Binzel et al., 2001), which is $535 \times 294 \times 209$ m in size (Demura
et al., 2006). Its shape is often described as bilobate, with a distinct head
and body (Abe et al., 2006). On the basis of the estimated bulk density of
 1.9 g/cm^3 , Itokawa is interpreted to be a rubble-pile (Fujiwara et al., 2006),
with an interior that is likely the re-accumulated debris of a past catastrophic
impact (Fujiwara et al., 2006). Itokawa (Fig. 1) can be broadly split into
two regions, the highlands (Fujiwara et al., 2006; Abe et al., 2006; Saito
et al., 2006; Demura et al., 2006; Yano et al., 2006; Miyamoto et al., 2007)
and lowlands (the Muses–Sea and Sagami-hara regions; Fujiwara et al., 2006;
Saito et al., 2006; Demura et al., 2006). The highlands are covered in blocks
ranging in size from 10s of centimeters to the largest boulder Yoshinodai,
which is $50 \times 30 \times 20$ m (Saito et al., 2006). The lowlands are covered in
grains that are centimeter- to millimeter-sized, inferred from the Hayabusa
spacecraft touchdown location in the Muses–Sea (Yano et al., 2006) and
return samples (Nakamura et al., 2011). The distribution of blocks follows

77 the geopotential of the surface of Itokawa with small grains concentrated in
 78 the lowlands and the larger blocks in the highlands (Fujiwara et al., 2006;
 79 Saito et al., 2006; Miyamoto et al., 2007; Noviello, J L et al., 2014; Tancredi
 80 et al., 2015). Impact craters have been identified on the surface, although
 81 they are often difficult to distinguish from the surrounding terrain and have a
 82 shallower depth/diameter ratio than on other asteroids (Hirata et al., 2009).
 83 This may reflect either different inherent crater morphology or modification
 84 due to movement of, and filling by, fine-grained regolith material.

85 The surface roughness of Itokawa was first explored using radar circu-
 86 lar polarization ratios by Ostro et al. (2004) who found similar radar-scale-
 87 surface roughness properties (approximately 13 cm baseline) to those of Eros,
 88 a $30 \times 10 \times 10$ km asteroid explored by the Near Earth Asteroid Rendezvous
 89 (NEAR)-Shoemaker mission (Zuber et al., 2000). Onboard the Hayabusa
 90 spacecraft was a LIght Detection and Ranging (LIDAR) instrument that
 91 collected detailed topography data from the surface of Itokawa (Abe et al.,
 92 2006). Initial local surface roughness studies using a few regional LIDAR
 93 tracks found that the highlands and lowlands had larger and lower, respec-
 94 tively, surface roughness values than those of Eros at similar baselines (Abe
 95 et al., 2006; Barnouin-Jha et al., 2008b). Barnouin-Jha et al. (2008b) also
 96 calculated the thickness of the mobile regolith of Itokawa in the Muses–Sea
 97 (2.3 ± 0.4 m) from the difference in surface roughness of the highlands and
 98 lowlands. The volume of regolith estimated from this result corresponds
 99 to an equivalent global layer ~ 0.4 m thick. A preliminary global inves-
 100 tigation of surface roughness across Itokawa (Barnouin-Jha et al., 2008a)
 101 (mapped in spatial bins of 15 by 15 degrees) confirmed these results. More

recently, (Muller et al., 2014) found that the observed thermal properties cannot be explained by the current Itokawa shape model, and that higher-resolution centimeter-scale surface roughness must also be present. This higher-resolution centimeter-scale surface roughness is likely present in the lowlands which are dominated by centimeter- to millimeter-sized particles (Yano et al., 2006). High resolution images of large boulders on Itokawa indicate that the surface of some boulders have a rough undulating texture (Noguchi et al., 2010).

In this study, we conduct the first complete detailed global assessment of meter-scale surface roughness on Itokawa. We generate the first global surface roughness dataset for Itokawa using the entire LIDAR dataset returned by the Hayabusa mission (Barnouin-Jha et al., 2008b). We investigate whether and how the surface roughness of a rubble-pile differs from the previously measured global surface roughness of Eros, a fractured monolith (Susorney and Barnouin, 2018). Additionally, we discuss whether the surface roughness at meter-scales might be related to centimeter-scale surface roughness; both the meter and centimeter scale is important when considering YORP effects that can alter the spin state and shape of asteroids (e.g., Rozitis and Green, 2012). The results of this study will also allow the surface roughness of Itokawa to be quantitatively compared to that of other small asteroids currently being explored, such as 162173 Ryugu (Tsuda et al., 2013) and 101955 Bennu (Lauretta et al., 2015).

In the following sections, we summarize how we adapt the methodology for calculating surface roughness on asteroids (Cheng et al., 2002; Barnouin-Jha et al., 2008b; Susorney and Barnouin, 2018) to the global Hayabusa

127 LIDAR dataset (Section 2), to produce the first detailed global surface rough-
128 ness maps for Itokawa. We present the global maps and surface roughness
129 results (Section 3), and discuss their implications for the geology of Itokawa
130 and for future directions in asteroid surface roughness research (Section 4).
131 Finally, we discuss the major conclusions of this study (Section 5). In this
132 paper we refer to the lowlands of Itokawa as the Muses–Sea region for con-
133 sistency with previous studies (e.g., [Barnouin-Jha et al., 2008b](#)), however we
134 note that the IAU registered name is MUSES-C Regio.

135 2. Methodology

136 In this study, we use root-mean-square (RMS) deviation ([Shepard et al.,](#)
137 [2001](#)) as our measure of surface roughness for direct comparison with the
138 results of a previous localized study of Itokawa ([Barnouin-Jha et al., 2008a](#)),
139 as well as global and regional studies of Eros ([Cheng et al., 2002](#); [Susorney and](#)
140 [Barnouin, 2018](#)). RMS deviation is commonly used to assess the influence of
141 geological processes on surface roughness for larger planetary bodies such as
142 Mercury and the Moon (e.g., [Kreslavsky et al., 2014](#); [Rosenburg et al., 2011](#)),
143 providing useful data for comparisons with, and interpretation of processes
144 acting on, asteroids such as Itokawa. We also use RMS deviation because it
145 is directly related to the commonly reported measure of surface roughness,
146 namely RMS slope (see [Shepard et al., 2001](#), for the relationship), which
147 is used in thermophysical models. Additionally when a surface is found to
148 be self-affine, the Hurst exponent derived from RMS deviation (see below),
149 allows measurements of RMS deviation obtained at one set of horizontal
150 baseline scales to be used to estimate the RMS deviation at other baselines.

151 This, in turn, allows comparison of surface roughness measurements across
 152 an individual body, as well as comparison of measurements among different
 153 bodies.

154 RMS deviation, $\nu(L)$, is defined as the change in detrended topographic
 155 height, h , over a given horizontal baseline, L , and is defined by

$$\nu(L) = \left\{ \frac{1}{n} \sum_{i=1}^n [\Delta h(L)_i]^2 \right\}^{\frac{1}{2}}, \quad (1)$$

156 where $\Delta h(L)_i$ is the i 'th estimate of a change in height and n is the number of
 157 Δh used to calculate RMS deviation. RMS deviation can be calculated on a
 158 point-wise basis to produce maps of spatial variation in surface roughness or
 159 averaged regionally or globally. RMS deviation is related to the Hurst expo-
 160 nent, H , which describes how the surface roughness changes with increasing
 161 baseline by

$$\nu(L) = \nu_o L^H, \quad (2)$$

162 where ν_o is the RMS deviation at the unit scale. The RMS deviation metric is
 163 unstable at small n (Kreslavsky et al., 2013; Susorney et al., 2017; Susorney
 164 and Barnouin, 2018) and it is necessary to quantify how large n in equation
 165 (1) must be to obtain a stable estimate of $\nu(L)$. We find that for $n \geq 200$,
 166 the estimate of $\nu(L)$ converges (Fig. 2), indicating stability.

167 2.1. Calculating surface roughness from the Hayabusa LIDAR

168 Individual LIDAR tracks were used rather than a global gridded topo-
 169 graphic dataset (e.g., derived from a shape model) for computing surface
 170 roughness because global gridded datasets for Itokawa included registration
 171 errors between different LIDAR tracks. Straight LIDAR tracks across Muses-
 172 Sea Regio indicate that the vertical resolution of the LIDAR instrument is

0.5 m (Barnouin-Jha et al., 2008b). We used the spatially registered LIDAR tracks available for Itokawa (Mukai et al., 2012). These have been corrected for uncertainties in spacecraft location and, as verified with images, are now registered at the correct locations on the asteroid (Barnouin-Jha et al., 2008b). The individual LIDAR footprints on Itokawa were calculated over a 8 m area (Barnouin-Jha et al., 2008b) therefore we chose 8 m as our minimum baseline.

To calculate surface roughness we first calculated the topography (also called geopotential altitude; Scheeres et al., 2016) using the method described in (Cheng et al., 2002; Barnouin-Jha et al., 2008b) for individual LIDAR returns using the 49,152-plate shape model of Itokawa that was derived from stereo-photoclinometry (SPC). We used the reported density of 1.95 g/cm^3 , a rotation rate of 0.000144 rad/s , and a reference potential of -0.0147 J/kg (Abe et al., 2006), and a polyhedral method for calculating the gravitational potential at fixed points on an irregular body (Werner and Scheeres, 1997).

Due to the loss of several reaction wheels the Hayabusa spacecraft was unable to stabilize. The resulting LIDAR tracks appear to wander and twist across the surface of Itokawa (Fig. 3). This makes it difficult to develop an accurate metric required to define the distances between topographic heights, and thus the baseline, an essential ingredient for assessing surface roughness. Previous studies that calculated the surface roughness of asteroids used only the most straight altimetric tracks, together with the spacecraft clock, to approximate distance across the asteroid, e.g. for Eros (Susorney and Barnouin, 2018) and Itokawa (Barnouin-Jha et al., 2008a). We therefore explored several methods to approximate distance for the global Itokawa dataset.

198 To calculate the surface roughness on a track-by-track basis, first, we
 199 found all the points within 10 baselines (the distance, calculated as a simple
 200 Euclidean distance) from the point of interest (a single LIDAR return) along
 201 the track. We refer to this region as the region of interest. The distance
 202 between the points in this region and the point of interest was calculated us-
 203 ing four different distance metrics ('straight-along-track', 'utc-straight-along-
 204 track', 'radial-distance', and 'plane-radial', Fig. 4). In the 'straight-along-
 205 track' distance metric we measured the xyz distance from LIDAR point to
 206 LIDAR point along-track. In the 'utc-straight-along-track' distance metric
 207 we projected the LIDAR points onto a straight line and used the space-
 208 craft clock of each LIDAR pulse to estimate distance along this straight
 209 line (used for Eros by [Susorney and Barnouin \(2018\)](#)). Neither of these two
 210 distance metrics work well for the Hayabusa dataset because they either elon-
 211 gated ('straight-along-track') or compressed ('utc-straight-along-track') the
 212 distance between points. The 'radial-distance' metric used the Euclidean
 213 distance from the point of interest to each of the other points in our region of
 214 interest. In the 'radial-plane' metric we projected the LIDAR points in the
 215 region of interest onto a plane that was best fit to the LIDAR points from the
 216 track in the region of interest and calculated the Euclidean distance on the
 217 plane. We find that the radial-plane distance metric compressed the distance
 218 between LIDAR points in regions of high curvature, such as the ends of the
 219 asteroid. Over larger distances the radial-distance metric also compressed
 220 the distance between points, but to a smaller extent than the radial-plane
 221 method, but this was not an issue at smaller baselines.

222 We compared maps of all four of our distance metrics and found that

spatial roughness variations among the metrics (Fig. S1-S6) differ substantially (greater than 5 m at $L = 32$ m) at baselines greater than 32 m and larger, thus we set 32 m as our maximum baseline. We chose to use the radial-distance metric as our distance metric, because as described above it was less affected by artificial contraction or elongation than the other metrics and it is straightforward to understand. However, because the absolute surface roughness values depends on the distance metric (Fig. S1-S6), we are conservative in quantitative interpretation of the absolute surface roughness values derived.

After calculating the distance between LIDAR points in our region of interest using the radial-distance metric, we fitted a plane to the points and rotated the points to a new coordinate system in which the z-axis is parallel to the normal to the plane. The transformed x, y, and topography values were then used to detrend the topography by fitting a plane to x, y, and topography and rotating the plane and points such that the normal to the plane was along the z-axis, i.e. the plane's x and y values equaled zero. Finally, we found the point closest to one baseline along the track (in a forward sense) from the original point of interest, and measured the difference in height, Δh . We repeated this analysis for all LiDAR points on all tracks.

2.2. Mapping RMS deviation

The surface roughness of Itokawa was visualized by mapping it onto the SPC shape model that we re-sampled down to a 10,000 plate model. To do this, we found all Δh two baselines away from the center of each plate in the 10,000 plate model and calculated the RMS deviation (from Eqn. (1)) using the Δh within two baselines from the center of each plate. We also checked

that at least 200 Δh were present on each plate to ensure a stable estimate of RMS deviation (Fig. 2). Changing the resolution of the shape model did not affect the surface roughness maps (Fig. S7).

3. Results

Global surface roughness maps of Itokawa were calculated at 8, 16, 24, and 32 m baselines. The 8 m and 32 m baseline maps are presented here for the radial-distance distance metric. The lower limit of 8 m was set by the averaging inherent in the LIDAR footprint, the upper limit of 32 m guided by the results discussed above. The results for the intermediate baselines of 16 m and 24 m are not shown, but were examined visually for consistency with the results reported here and were used in the calculations of Hurst exponents.

At the smallest baseline mapped (8m, Fig. 5), we observe a bimodal variation in surface roughness with the lowlands having lower surface roughness values relative to the highlands. Surface roughness is elevated at large boulders such as Yoshinodai. At the 32 m baseline (Fig. 6), we observe a similar distribution of surface roughness to the 8 m baseline with the Muses–Sea having lower surface roughness than the highlands on the head. The relative difference in surface roughness between larger boulders and the surrounding region is smaller than that at the 8 m baseline.

We used the surface roughness values at the four baselines at each plate on the 10,000-plate shape model to estimate a local Hurst exponent. The resulting map shows a large range in Hurst exponents across the surface (Fig. 7) from values near 0 (roughness invariant with baseline) to 1.0 (roughness

self-similar at all baselines). The global Hurst exponent for Itokawa, calculated from all Δh s in this study, is 0.51 ± 0.07 and ν_o (equation 2) is 0.269 ± 0.075 . This is lower than the Hurst exponent calculated for Eros, 0.97 ± 0.01 (Susorney and Barnouin, 2018). The lower Hurst exponent means that the ratio of surface roughness at the largest versus the smallest baselines is lower on Itokawa than on Eros.

3.1. Correlations with other geologic features and asteroid properties

We investigated whether there are correlations between the surface roughness, geologic features, and surface properties to understand how surface roughness could be related to the geology of Itokawa. Correlations were calculated using a Pearson’s correlation coefficient and the surface roughness and the geologic property/features mapped onto the same shape model used to calculate surface roughness. Statistical significance was taken as $p < 0.05$, where p is the probability of obtaining a correlation coefficient as large as that observed or greater, if the underlying distributions have no correlation. We found statistically significant positive or negative correlations for all the quantities examined; however in two cases (see below) the correlation coefficients, while meeting the significance criterion were much lower than in all other cases, and thus we did not interpret these results. Correlation coefficients are given in Table 1 for the physical quantities examined. Using the block counts from Mazrouei et al. (2014), surface roughness was found to show a statistically significant, positive correlation with block spatial density at the 8 m and 16 m surface roughness baselines. For longer baselines, 24 m and 32 m, the correlation coefficients, although meeting the significance criterion were much lower (< 0.1), suggesting some, but very weak correlation

297 of block counts and roughness at these baselines. We also calculated to-
 298 pography, slope, and gravitational acceleration from the 49,152 plate shape
 299 model of Itokawa with these values remapped onto the 10,000 plate shape to
 300 compare with our surface roughness maps. A statistically significant posi-
 301 tive correlation was found between surface roughness and slope, and between
 302 surface roughness and topography at all baselines examined. Gravitational
 303 acceleration is negatively correlated with surface roughness at all baselines
 304 examined.

305 *3.2. Thickness of regolith*

306 [Barnouin-Jha et al. \(2008b\)](#) estimated the thickness of regolith in the
 307 lowlands on Itokawa to be 2.3 ± 0.4 m by comparing the surface roughness
 308 of the highlands and lowlands. This estimate was based on the assumption
 309 that variations in surface roughness at the smallest baselines were due to
 310 regolith motion across the surface. In the previous study, surface roughness
 311 was measured on individual tracks that were clearly in the highlands and
 312 lowlands. As this study is global in nature we did not specifically map surface
 313 roughness in the highlands versus the lowlands, as there is no currently-
 314 agreed-upon definition of a boundary separating these regions. We instead
 315 make a qualitative global estimate of the thickness of regolith in the lowlands
 316 using three different measures. First, the absolute range in RMS values for
 317 the 10,000 plate model at the baseline of 8 m results in an estimate of regolith
 318 thickness of 10.6 m and provides an upper bound of topography that is buried
 319 by mobile regolith in the lowlands. Second, the interquartile range (the range
 320 between the 25th and 75th percentile) for the same dataset is 0.8 m and
 321 provides a more conservative estimate of regolith thickness. Third, visual

estimates of surface roughness values in the highlands versus the lowlands differ by about 1 m. The values obtained encompass the estimates made by Barnouin-Jha et al. (2008b).

4. Discussion

The high-resolution surface roughness maps on Itokawa can be used to understand the surface geology of Itokawa and to compare Itokawa to other asteroids. While, there are issues with the LIDAR dataset (see section 2.1) that prevented distance from being measured in the same manner as on Eros, we can still compare different regions of Itokawa and make qualitative comparisons with the surface roughness of Eros.

4.1. Implications for the geology of Itokawa

The spatial distribution of surface roughness on Itokawa can be used to explore the role of different geologic processes in controlling topography at the horizontal scales investigated here. Previous studies of Itokawa (Barnouin-Jha et al., 2008b) found a dichotomy in surface roughness and proposed that this resulted from the downslope movement of smaller grains from the highlands to the lowlands, filling regions of lower topography. Our global maps of surface roughness from 8–32 m support this previous inference. We find that the surface roughness at the 8 and 16 m baselines are positively correlated with block spatial density and that the surface roughness at all baselines is correlated with slope and geopotential. Thus the surface roughness at the few meters to tens of meter scale on Itokawa is dominated by the current shape, and geopotential of the asteroid.

345 We used spatial variations in surface roughness to provide rough estimates
346 of regolith thickness globally. Our results give a layer of mobile regolith on
347 the order of 1 m, with an upper bound of ~ 10 m. Thus both this work and
348 that of [Barnouin-Jha et al. \(2008b\)](#) point to a layer of mobile regolith on
349 Itokawa that is a meter to a few meters thick.

350 We also investigated whether there are any differences in the surface
351 roughness of the head and body of Itokawa to see if we could identify any
352 evidence that the head and body evolved separately or together (see the dis-
353 cussion in [Mazrouei et al., 2014](#)). No such differences were found, implying
354 that if there is a difference in internal structure between these two regions,
355 it does not affect the surface geology, which is instead dominated by the
356 highlands/lowlands dichotomy. If the head and body of the asteroid evolved
357 independently, any trace of differing topography at the scale of 8 – 32 m has
358 been overprinted by down-slope motion that homogenize characteristics at
359 these length scales. This is consistent with the correlation of surface rough-
360 ness and geopotential implying that the current surface roughness of Itokawa
361 is dominated by its current shape and the surface roughness is not affected by
362 past states of Itokawa (i.e., past spin states or the parent body of Itokawa).
363 The surface roughness of Itokawa reflects the current spatial distribution of
364 boulders, which in turn are governed by geopotential highs and lows. If the
365 shape or spin of Itokawa was different in the past, this would likely have
366 resulted in a different distribution of boulders and thus surface roughness.

367 *4.2. Surface roughness and YORP on Itokawa*

368 As Itokawa is a relatively small asteroid it has been predicted that its spin
369 can be affected by YORP (e.g. [Scheeres et al., 2007](#)). The modeling of the ex-

370 pected YORP spin-down (Scheeres et al., 2007) is at odds with the observed
 371 YORP spin up (Lowry et al., 2014). This mismatch has been proposed to
 372 result from density variations between the head and body of Itokawa (Lowry
 373 et al., 2014), which could alter the YORP effect (Scheeres and Gaskell, 2008;
 374 Lowry et al., 2014). Although, the role of YORP on Itokawa is not fully un-
 375 derstood (i.e., Ševeček et al., 2015), spatial variations in the surface roughness
 376 at the centimeter scale can alter the ability of YORP to modify the rotation
 377 rates of asteroids (Rozitis and Green, 2012). On Itokawa, we observe large
 378 longitudinal and latitudinal variations in surface roughness across Itokawa
 379 (Fig. 9). For example, at the 8 m baseline, a pole-to-equator increase in
 380 surface roughness of almost an order of magnitude and more localized longi-
 381 tudinal variations of a factor of ~ 2 are seen, (Fig. 9). Latitudinal variations
 382 in block density have also been noted on Itokawa, with enhanced areal boul-
 383 der densities in the equatorial regions compared with at higher latitudes
 384 (Mazrouei et al., 2014). This is in part, reflected in the positive correlation
 385 we observe between surface roughness and boulder density. The longitudinal
 386 variations, while a smaller magnitude than latitudinal variations, are more
 387 likely to affect YORP (Rozitis and Green, 2012) and thus may contribute to
 388 the mismatch in observed and predicted YORP. The source of these spatial
 389 variations is likely variations in boulder densities within each longitudinal
 390 bin. If variations in surface roughness continue down to the centimeter scale,
 391 particularly in longitude, they will likely affect the thermophysical properties
 392 of Itokawa, and in turn, YORP.

393 4.3. Comparison of Itokawa and Eros

394 Comparisons of the surface roughness of Itokawa and Eros at similar
395 baselines can provide constraints on differences in surface structure (and
396 underlying processes) of a fractured monolith and a rubble-pile. The surface
397 roughness of Itokawa is bimodal at baselines of 8–32 m, with the two modes
398 associated with surface roughness of the highlands and the lowlands. In
399 contrast, the surface roughness of Eros at baselines of 4–200 m does not
400 show a clear differentiation by terrain type ([Susorney and Barnouin, 2018](#)).
401 Although the surface roughness of Itokawa and Eros are both correlated
402 with block spatial density at meter-scale baselines, the distribution of blocks,
403 and thus surface roughness, reveal the very different geologic history of each
404 bodies. On Eros, the surface is dominated by cratering, and impact ejecta
405 controls the distribution of blocks. On Itokawa, the block distribution is a
406 function of the current geopotential of the asteroid and is not associated with
407 individual impact craters. The source of the blocks on Itokawa is likely the
408 catastrophic impact thought to have created Itokawa ([Fujiwara et al., 2006](#)),
409 but the current distribution of blocks is due to down-slope movement and
410 thus the current geopotential of Itokawa.

411 Comparison of the devioigrams for Itokawa and Eros (Fig. 10) highlights
412 different surface roughness behavior, with the caveats that the devioigram
413 for Itokawa samples a more restricted range of baselines, and the absolute
414 RMS deviation values depend on the distance metric. The devioigram for
415 Eros is a straight line that increases with increasing baseline implying that
416 the surface roughness at the measured baselines is fractal. On Itokawa the
417 devioigram appears to have less steep slopes at the (albeit restricted range of)

418 longer baselines. This behavior is similar to that observed at long baselines
 419 (1–2 km) on the Moon and Mercury (Rosenburg et al., 2011; Fa et al., 2016).
 420 The Hurst exponent at baselines of 16 – 32 m from the global devioqram
 421 from this study is comparable to that observed from the Highlands regions
 422 in (Barnouin-Jha et al., 2008b) at these baselines.

423 We postulate that this difference in devioqrams for Eros and Itokawa
 424 may result from the lack of ability of Itokawa to support longer-wavelength
 425 topography, in contrast to Eros, which is thought to have a more intact,
 426 and stronger, interior (see Fig. 11). For example, Eros shows clear crater
 427 cavities (Veverka et al., 1999) while on Itokawa crater morphologies, where
 428 seen, are muted (Hirata et al., 2009). In addition, the distribution of Hurst
 429 exponents (Fig. 12) is quite different for both asteroids, with Eros having
 430 larger Hurst exponents on average than Itokawa. Future missions to rubble-
 431 pile asteroids will allow us to explore this hypothesis with new datasets for
 432 different asteroids. If the devioqrams of rubble-piles and fractured monoliths
 433 are different, we may be able to use surface roughness to probe the sub-
 434 structure of asteroids. This would be particularly important for asteroids for
 435 which mass estimates are poorly known (such as a flyby asteroid mission)
 436 but for which have topography data (e.g. from SPC) might be available and
 437 sufficient to calculate surface roughness over a range of baselines.

438 5. Conclusions

439 We measured the global surface roughness of Itokawa from baselines
 440 of 8–32 m using topography from the Hayabusa LiDAR, and provide the
 441 first global surface roughness maps at the meter-scale for a small-rubble-

442 pile asteroid. A major challenge for establishing surface roughness on small,
443 irregularly-shaped bodies is the choice of distance metric and overcoming this
444 permitted us to use the entire Hayabusa LIDAR dataset for the first time.
445 We investigated several such metrics and our results support the use of the
446 simple Euclidean distance between pairs of points (referred to in this paper
447 as the ‘radial-distance’ metric). A consequence is that surface roughness can
448 be reliably established only at baselines below those at which the surface
449 curvature of the asteroid becomes important.

450 We found that the surface roughness of Itokawa is clearly related to the
451 surface geology. The global surface roughness maps at baselines of 8–32 m
452 shows that the highlands on Itokawa have higher surface roughness values
453 than the lowlands. In addition, no significant differences in the surface rough-
454 ness properties of the head and body of Itokawa were found. However, surface
455 roughness is largest at the equator and smallest at the poles, and also exhibits
456 substantial short-wavelength longitudinal variations. These geographic vari-
457 ations in surface roughness echo those observed in the distribution of boulders
458 on the asteroid, specifically the variation in boulder density with latitude and
459 the lack of differences between the head and the body, reported by [Mazrouei](#)
460 [et al. \(2014\)](#). The geographical variations in surface roughness have several
461 implications. First, the similar properties of the head and body in both block
462 density and surface roughness indicate that if the head and body evolved sep-
463 arately and joined at a later date, the current surface topography at scales
464 of 8–32 m is a record of the surface after this join. Second, the differences
465 in surface roughness between the highlands and lowlands indicate estimates
466 of regolith thickness in the lowlands of 1 m to a few meters consistent with

467 previous studies based on a few LIDAR tracks ([Barnouin-Jha et al., 2008b](#)).
468 Third, the latitudinal variations in surface roughness may provide insights
469 into the origin of Itokawa, as suggested from similar block count distributions
470 ([Mazrouei et al., 2014](#)). Fourth, longitudinal variations in surface roughness,
471 if they continue down to the centimeter-scale, could affect the thermophys-
472 ical properties of Itokawa and hence influence YORP. This is particularly
473 important as it may help explain mismatch between observed and predicted
474 YORP.

475 Although the surface roughness of Itokawa and the much-larger fractured-
476 monolith asteroid Eros ([Susorney and Barnouin, 2018](#)) both correlate with
477 spatial block density, the distribution of blocks and thus surface roughness
478 are a function of the different geology of rubble-piles and fractured monoliths.
479 On Eros, the block distribution/surface roughness is a function of large im-
480 pact craters ([Thomas, P. C. and Robinson, 2005](#)), while on Itokawa the block
481 distribution/surface roughness is a function of elevation. The devio-gram
482 and distributions of Hurst exponents on both bodies are also different, with
483 Eros displaying a more self-affine-like devio-gram, while the devio-gram for
484 Itokawa appears to shallow in slope at 20–30 m. This difference may result
485 from Itokawa being unable to support long wavelength topography. A wider
486 range of baselines, not available from current topography data for Itokawa, is
487 needed to fully test this observation, but devio-grams from upcoming space-
488 craft encounters at 162173 Ryugu ([Tsuda et al., 2013](#)) and 101955 Bennu
489 ([Lauretta et al., 2015](#)) will provide insights from other small asteroids.

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663 **Figures**

Table 1: Correlation coefficients for all correlations with the surface roughness of Itokawa at the baselines investigated in this study.

Baseline	Boulder Spatial Density	Topography	Slope	Geopotential
8 m	0.23	0.43	0.46	-0.44
16 m	0.24	0.48	0.66	-0.60
24 m	0.10	0.50	0.69	-0.69
32 m	0.05	0.47	0.66	-0.69

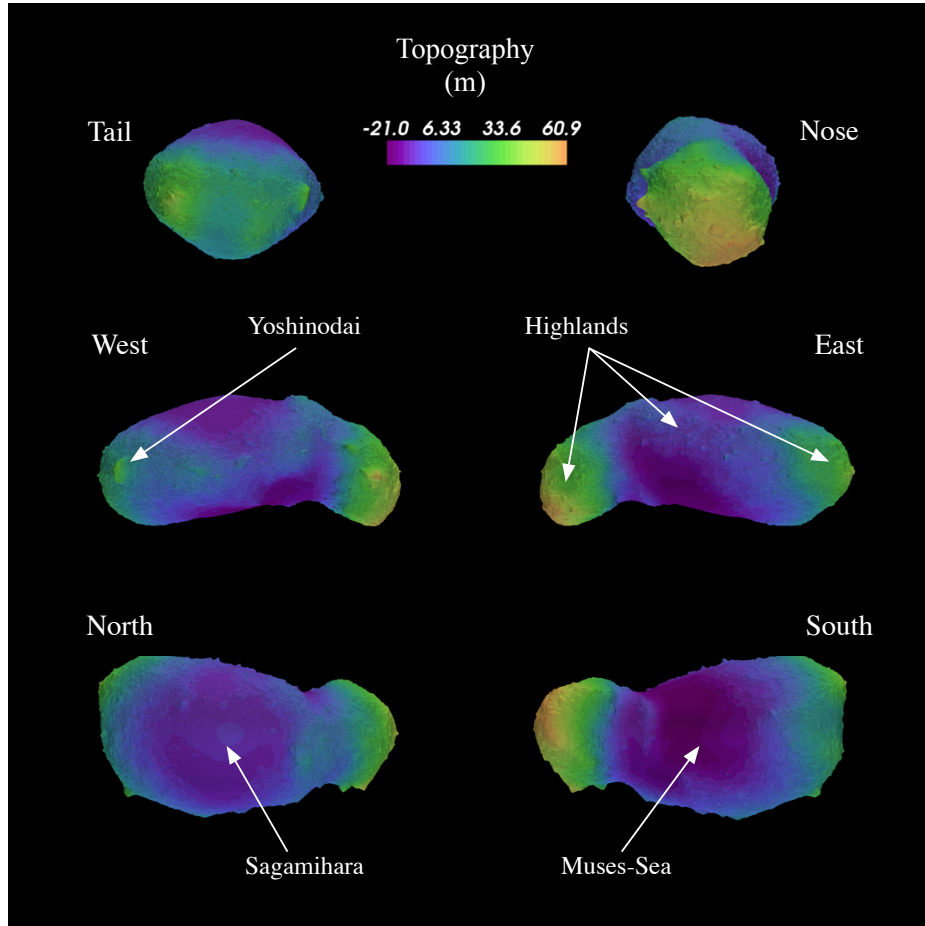


Figure 1: The topography of Itokawa shown on a 49,152-plate shape model ([Gaskell et al., 2008](#)). The surface of Itokawa can be broadly separated into lowlands (Muses-Sea and Sagamihara) and highlands. The largest boulder on the surface, Yoshinodai is labeled and is $50 \times 30 \times 20$ m ([Saito et al., 2006](#)).

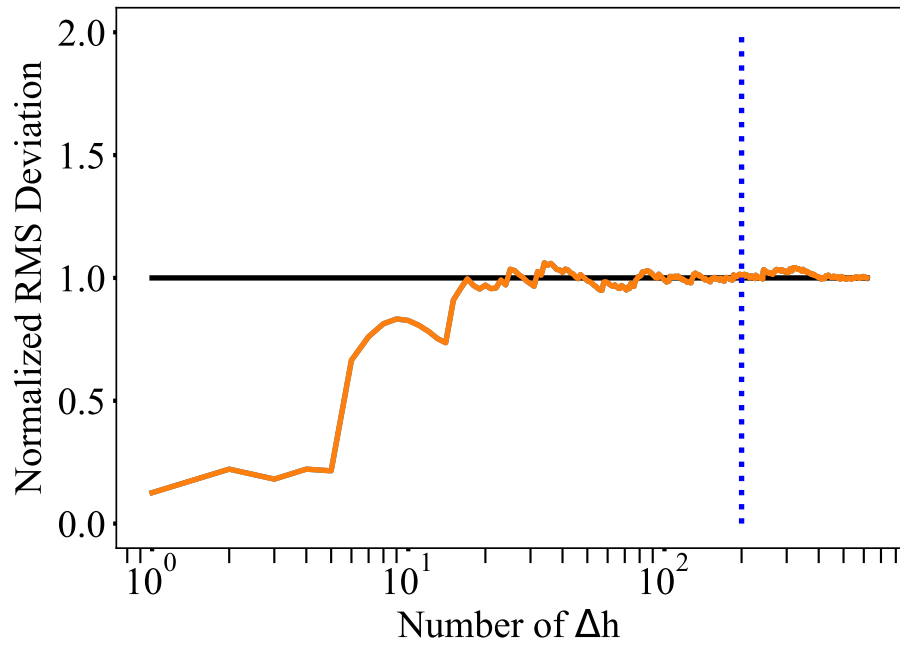


Figure 2: The stability of the RMS deviation estimate (normalized to the final value) as a function of the number of estimates, n of Δh , at a random location on the surface of Itokawa. The stability of the RMS deviation was investigated at several locations across Itokawa and results indicate that it converges at about $n = 200$.

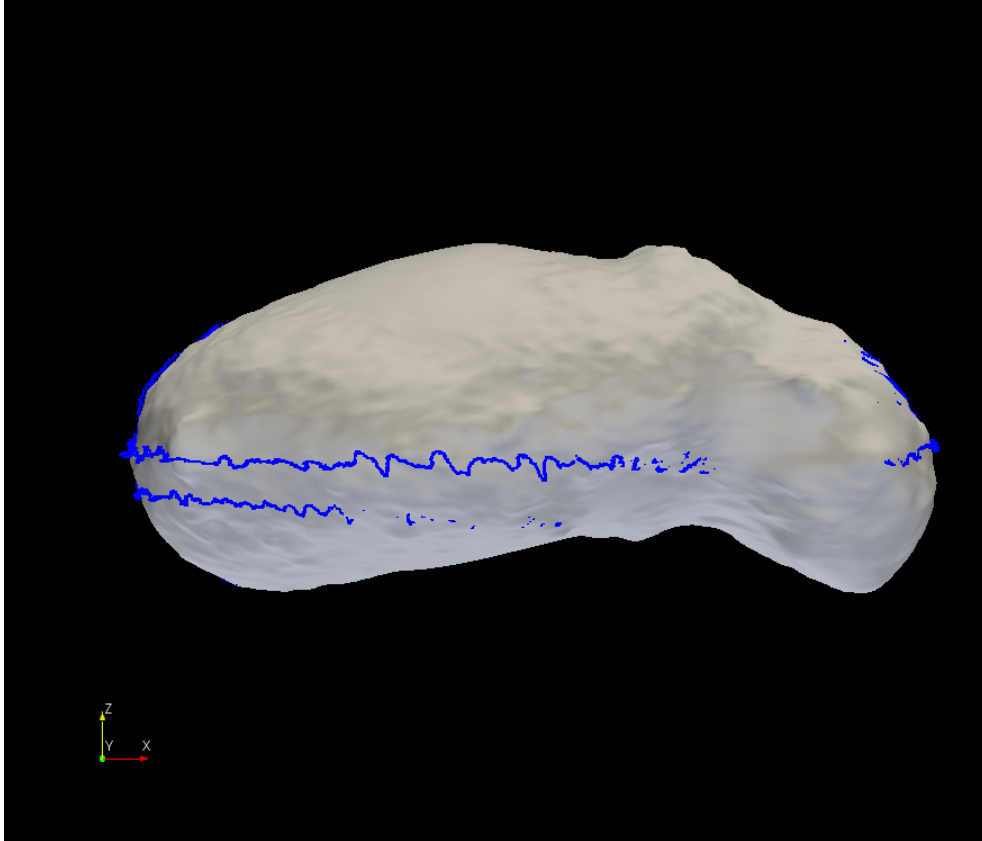


Figure 3: An example of the ‘wandering’ Hayabusa LIDAR tracks. This is track *cdr_f_2005_10_01* from the PDS small body node ([https : //sbnarchive.psi.edu/pds3/hayabusa/HAY_A_LIDAR_3_HAY_LIDAR_V2.0/data/cdr/](https://sbnarchive.psi.edu/pds3/hayabusa/HAY_A_LIDAR_3_HAY_LIDAR_V2.0/data/cdr/))

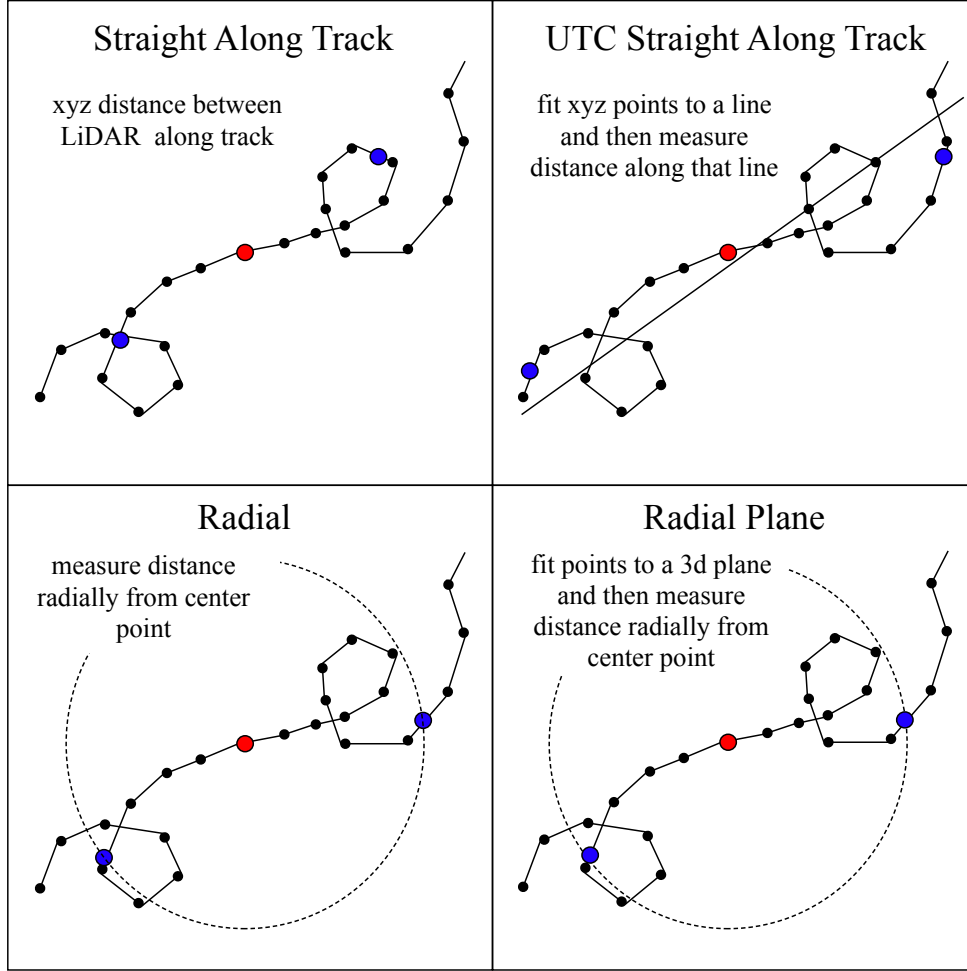


Figure 4: Schematic of the four different distance estimates used in this paper. The black points are the LiDAR returns, the red point is the point of interest, the two blue points are the points over which Δh was computed, and the dashed black circles in the lower two schematics represent one baseline away from the point of interest.

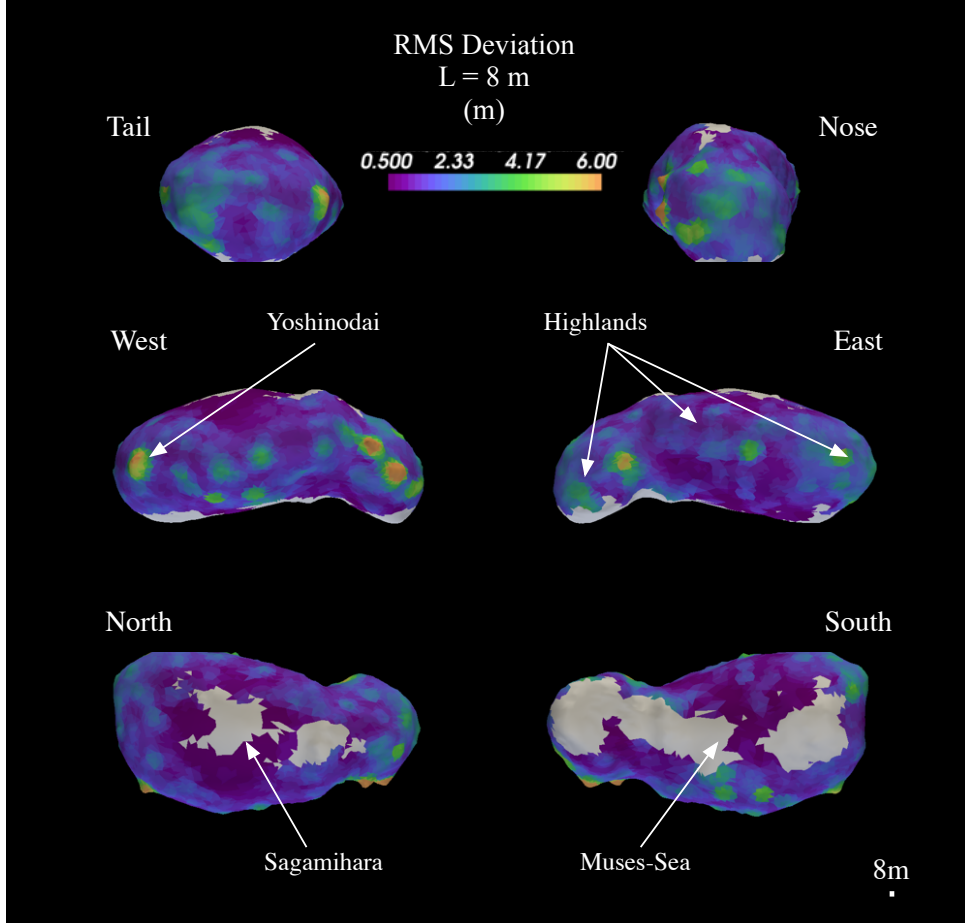


Figure 5: The surface roughness at a baseline of 8 m. Gray indicates that no estimate was available due to low track density. Note the relatively high surface roughness in the highlands and the relatively low surface roughness in the Muses-Sea and Sagami-hara (where there is adequate Δh to calculate surface roughness). The surface roughness is elevated associated with boulders such as Yoshinodai.

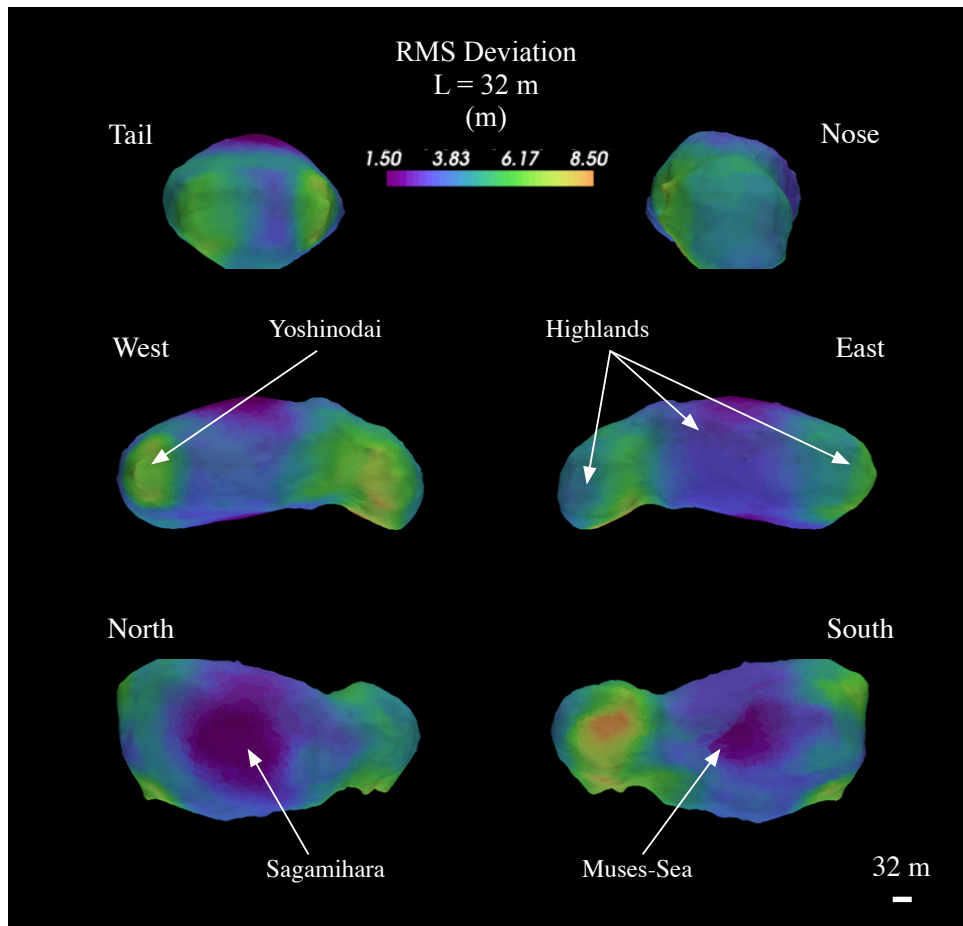


Figure 6: The surface roughness at a baseline of 32 m. The surface roughness follows the same pattern as the 8 m baseline with the highlands and lowlands having a bimodal surface roughness relationship. Individual boulders at the 32 m baseline are less clear and instead are associated with the overall higher surface roughness of the highlands.

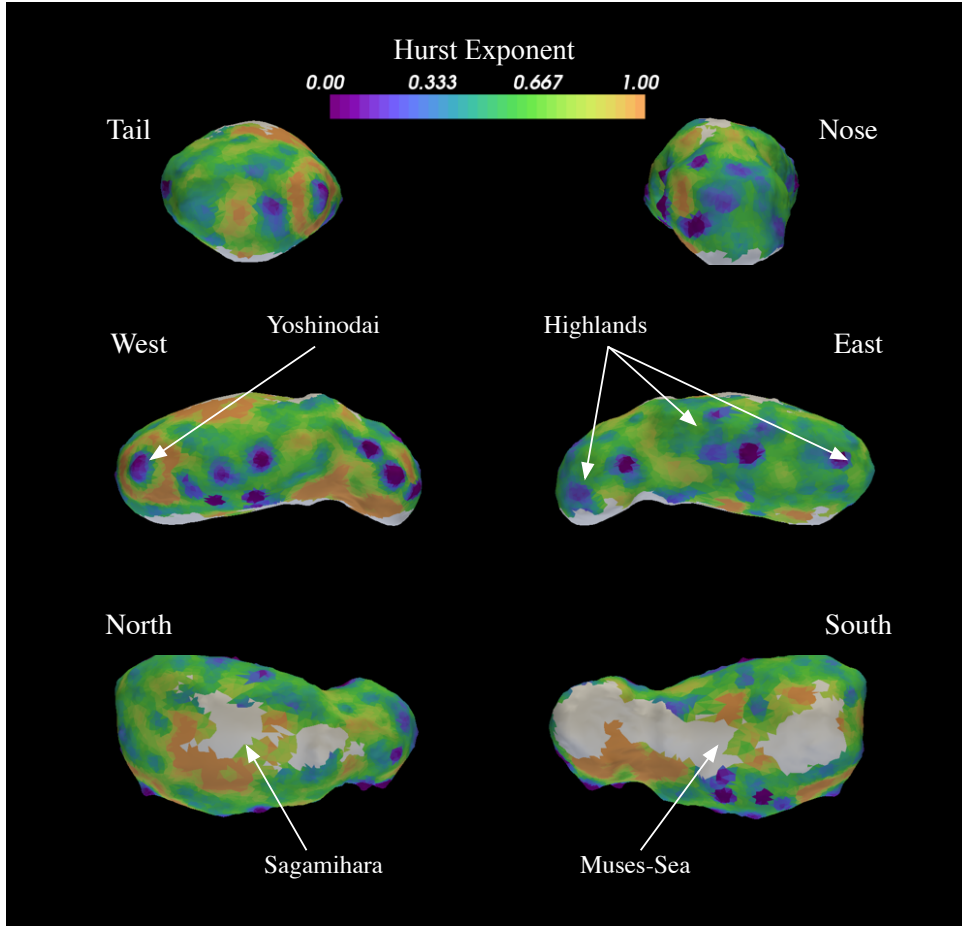


Figure 7: The Hurst exponent for baselines from 8 m to 32 m. Note that the Hurst exponent is very low around boulders and varies across the asteroid surface.

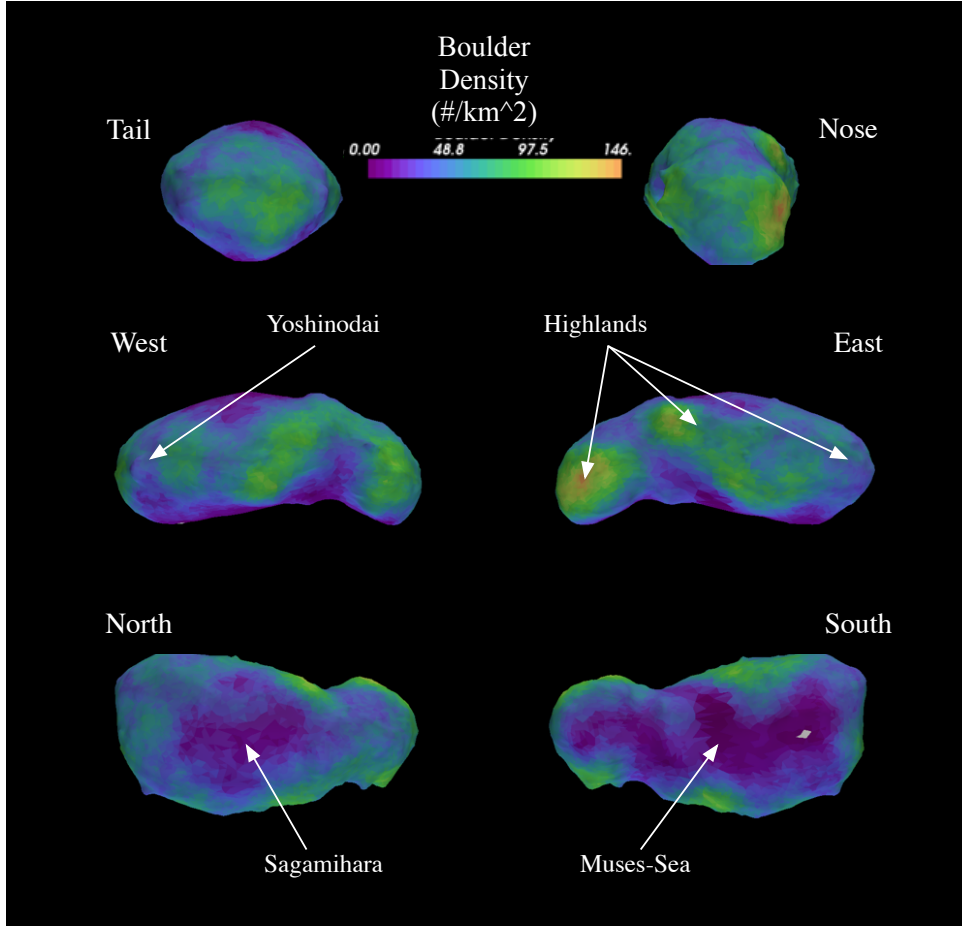


Figure 8: A map of the block spatial density from Mazrouei et al. (2014). The blocks follow the highland/lowland dichotomy.

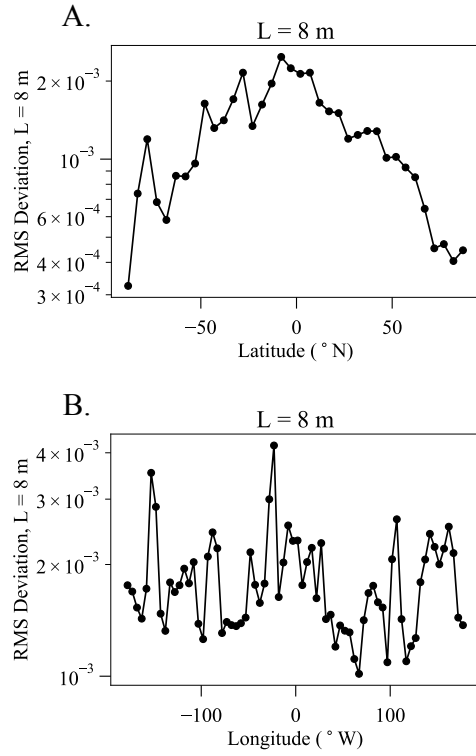


Figure 9: The RMS deviation calculated in 5° degree bins as a function of (A) the latitude and (B) longitude of Itokawa at a baseline of 8 m. Surface roughness is highest at the equator and shows substantial variation with longitude but no clear pattern.

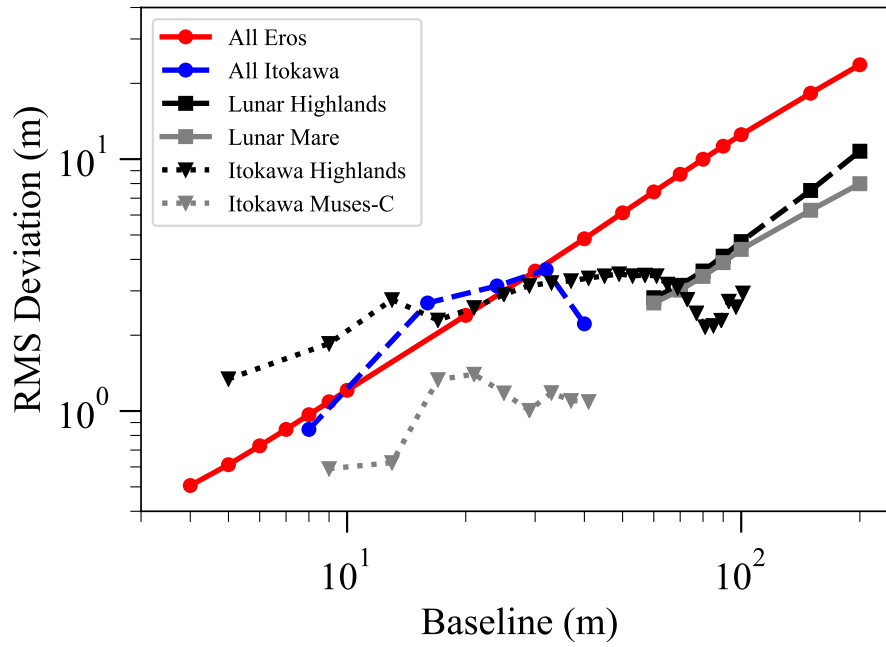


Figure 10: Devigrams for Itokawa, Eros, and the Moon. The All Eros, the Lunar Highlands, and the Lunar Mare data are from [Susorney and Barnouin \(2018\)](#). The Itokawa Muses–Sea and Itokawa Highlands are from [Barnouin-Jha et al. \(2008b\)](#).

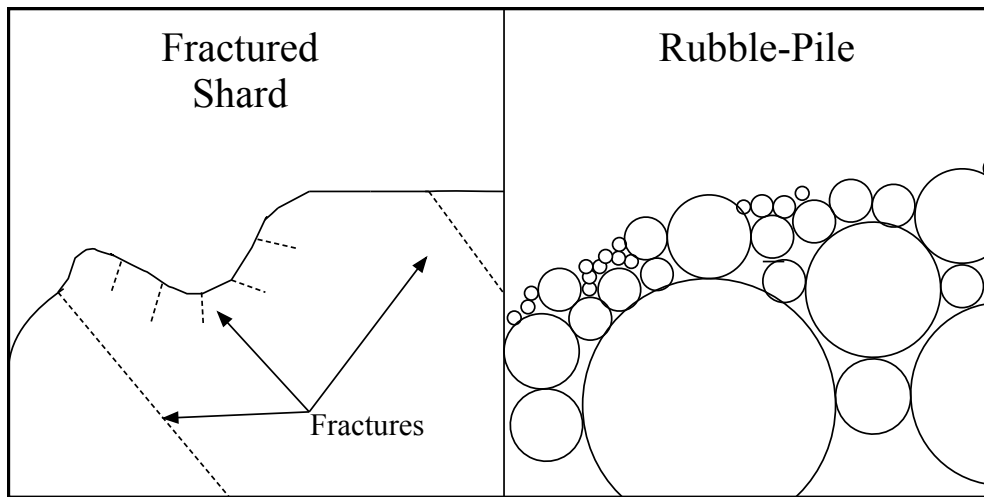


Figure 11: A schematic of the proposed near-surface structure of Eros and Itokawa. The fractured shard (Eros) is better able to support topography, in particular topography due to impact craters, while the rubble-pile (Itokawa) supports a smaller range of topography and impact craters do not have the same topographic expression ([Hirata et al., 2009](#)).

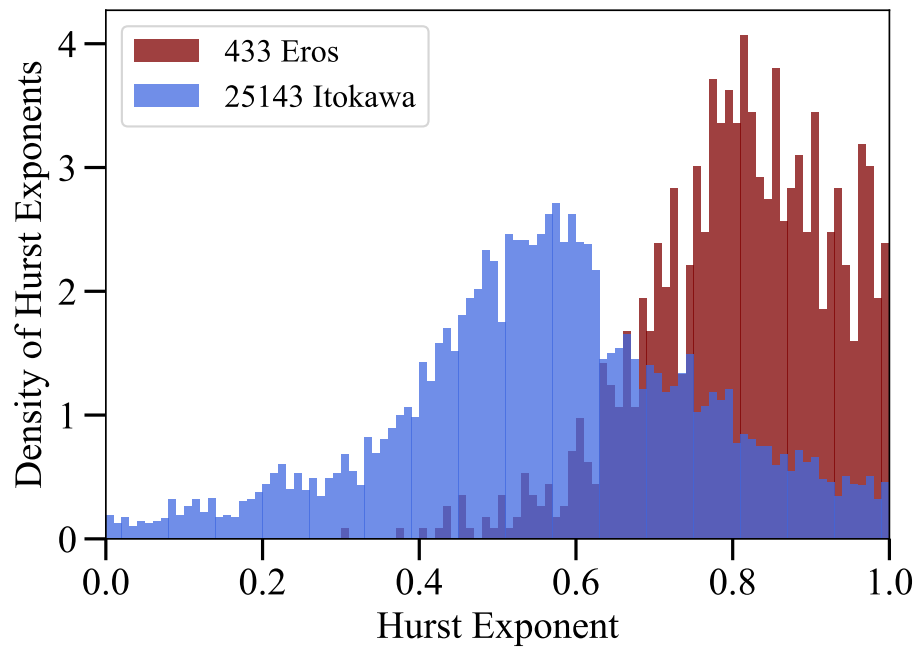


Figure 12: Histograms of the distribution of Hurst exponents on Itokawa and 433 Eros (Susorney and Barnouin, 2018) from the Hurst exponent maps. Note how different the peaks and spread in Hurst exponents are for the two asteroids.